

1/2017

**Curriculum Vitae****SHUI-YAN TANG**

鄧穗欣

Sol Price School of Public Policy  
 University of Southern California  
 650 Childs Way  
 Los Angeles, California 90089-0626  
 Tel: (213) 740-0379  
 E-mail: stang@usc.edu

**Education**

Ph. D. (Public Policy), Indiana University, Bloomington, 1989  
 M. Phil. (Government and Public Administration), The Chinese University of Hong Kong,  
 1984  
 B. Soc. Sc. (Government and Public Administration), The Chinese University of Hong  
 Kong, 1982

**Areas of Specialization**

Institutional Analysis and Design; Collaborative Governance; Local and Community-based  
 Governance; Common-Pool Resource Governance; Environmental Politics and Policy;  
 Microfinance

**Academic Employment**

Interim Chair, Department of Governance, Management, and the Policy Process, Sol Price  
 School of Public Policy, University of Southern California, since 2017  
 Frances R. and John J. Duggan Professor of Public Administration, Sol Price School of  
 Public Policy, University of Southern California, since 2011  
 Professor, School of Policy, Planning, and Development, University of Southern  
 California, 2002 to 2011  
 Research Director, John and Judith Bedrosian Center for Governance and the Public  
 Enterprise, since 2005  
 Director, Master of Public Administration Program, School of Policy, Planning, and  
 Development, University of Southern California, 1995 to 2009, 2011-2012  
 (Interim)  
 Associate Professor, School of Policy, Planning, and Development, University of Southern  
 California, 1995-2001  
 Assistant Professor, School of Public Administration, University of Southern California,  
 1989-1995  
 Adjunct Faculty, School of Public and Environmental Affairs, Indiana University,  
 Bloomington, 1988

Research Assistant, "Common-Pool Resource Project," Workshop in Political Theory and Policy Analysis, Indiana University, 1986-89  
 Instructor, Department of Extramural Studies, University of Hong Kong, 1983-84  
 Teaching Assistant, Department of Government and Public Administration, The Chinese University of Hong Kong, 1982-84

### Honors and Distinctions

Louis Brownlow Award (for the Best Paper co-authored with a practitioner in *Public Administration Review* in 2014) American Society for Public Administration, 2015  
 Visiting Chair Professor, Department Management and Marketing, The Hong Kong Polytechnic University, 2012, 2013, 2015, and 2016  
 Adjunct Professor, School of International Relations and Public Affairs, 2015-18  
 Professor, Shanghai Institute of Visual Art, Fudan University, since 2011  
 Master, Beijing DeTao Masters Academy, Since 2010  
 Special-term Professor, School of Public Economics and Administration, Shanghai University of Finance and Economics, 2009-2011  
 Fellow, National Academy of Public Administration, elected 2009  
 Harry Scoville Award for Academic Excellence, American Society for Public Administration, Southern California Chapter, 2008  
 Associate Editor, *Journal of Public Administration Research and Theory*, 2000-2005  
 Distinguished Teaching Award, Graduate Public Administration Community, University of Southern California, 1994-95  
 Undergraduate Teaching Excellence Award, School of Public Administration, University of Southern California, 1991  
 Leonard D. White Award for the best doctoral dissertation in public administration, American Political Science Association, 1990  
 Annual Dissertation Award (Honorable Mention), National Association of Schools of Public Affairs and Administration, 1990

### Publications

#### *Books:*

C. W. H. Lo and S. Y. Tang, *Institutions, Regulatory Styles, Society, and Environmental Governance in China*, Oxford: Routledge, 2014

S. Y. Tang, *Ten Principles for a Rule-Ordered Society: Enhancing China's Governing Capacity 提升中国的治理能力: 规则社会的十项原则*, Beijing: China Economic Publishing House, (in both English and Chinese), 2012

Y.D. Jung, D.A. Mazmanian, and S. Y. Tang, eds., *Collaborative Governance in the United States and Korea*, Seoul: Seoul National University Press, 2009

S. Y. Tang, *Institutions and Collective Action: Self-Governance in Irrigation*, San Francisco: Institute for Contemporary Studies Press, 1992

#### *Journal Symposia:*

Y.D. Jung, D.A. Mazmanian, and S. Y. Tang, eds., "Collaborative Governance in the United States and Korea: Cases in Negotiated Policymaking and Service Delivery" *International Review of Public Administration*, Vol. 13 (Special Issue), 2009

J. M. Ferris and S. Y. Tang, eds., "Series on The New Institutionalism and Public Administration," *Journal of Public Administration Research and Theory*, Vol. 3, No. 1, 1993, 4-145

*Journal Articles:*

MJ Kwon, S. Y. Tang, and C. Kim, "Examining Strategic Sustainability Plans and Smart Growth Land-Use Measures in California Cities," *Journal of Environmental Planning and Management*, forthcoming

N. Liu, S. Y. Tang, X. Zhan, and C. W. H. Lo, "Political Commitment, Policy Ambiguity, and Corporate Environmental Practices," *Policy Studies Journal*, forthcoming

H. Li, C. W. H. Lo, and S. Y. Tang, "Nonprofit Policy Advocacy under Authoritarianism," *Public Administration Review*, Vol. 77, No. 1, 2017, pp. 103-117

X. Zhan and S. Y. Tang, "Understanding the Implications of Government Ties for Nonprofit Operations and Functions," *Public Administration Review*, Vol. 76, No. 4, 2016, pp. 589-600

N. Liu, S. Y. Tang, C. W. H. Lo, and X. Zhan, "Stakeholder Demands and Corporate Environmental Coping Strategies in China," *Journal of Environmental Management*, Vol. 165, No. 1, 2016, pp. 140-149

W. H. Yee, S. Y. Tang, and C. W. H. Lo, "Regulatory Compliance When the Rule of Law is Weak: Evidence from China's Environmental Reform," *Journal of Public Administration Research and Theory*, Vol. 26, No. 1, 2016, pp. 95-112

S. Y. Tang, P. H. Li, G. Fryxell, and C. W. H. Lo, "Enterprise-Level Motivations, Corporate Environmental Management in Guangzhou, China," *Environmental Management*, Vol. 56, No. 3, 2015, pp. 777-790

S. Y. Tang, R. F. Callahan, and M. Pisano, "Using Common-Pool Resource Principles to Design Local Government Fiscal Sustainability," *Public Administration Review*, Vol. 74, No. 6, 2014, pp. 791-803

S. Y. Tang, G. Rubio-Cortes, R. Callahan, M. Pisano, and M. McGrath, "Key Findings on Fiscal Sustainability for Local Governments in Southern California," *National Civic Review*, Vol. 103, No. 3, 2014 pp. 3-13

X. Zhan, C. W. H. Lo, and S. Y. Tang, "Contextual Changes and Environmental Policy Implementation: A Longitudinal Study of Street-Level Bureaucrats in Guangzhou, China," *Journal of Public Administration Research and Theory*, Vol. 24, No. 4, 2014, pp. 1005-1035

C. P. Tang and S. Y. Tang, "Managing Incentive Dynamics for Collaborative Governance in Land and Ecological Conservation," *Public Administration Review*, Vol. 74, No. 2, 2014, 220-231

X. Zhan and S. Y. Tang, "Political Opportunities, Resource Constraints, and Policy Advocacy: A Longitudinal Study of Environmental NGOs in China," *Public Administration*, Vol. 91, No. 2, 2013, 381-399

Yee, W-H, C.W. H. Lo, and S. Y. Tang, "Assessing ecological modernization in China: Stakeholder demands and corporate environmental management practices," *The China Quarterly*, Vol. 213, 2013, 101-129

S. Y. Tang, X. Zhan, and Y. Bao, "Towards a Rule-ordered Society: A Research and Practical Framework for Public Governance Transition in China," *Fudan Public Administration Review*, Vol. 9, 2012, 45-67 (in Chinese)

Francesch-Huidoboro, M., C.W.H. Lo, and S. Y. Tang, "The Local Environmental Regulatory Regime in China: Changes in Pro-Environment Orientation, Institutional Capacity, and Political Support," *Environment and Planning A*, Vol. 44, No. 10, 2012, 2493-25.

S. Y. Tang, D. A. Mazmanian, and X. Zhan "Understanding Collaborative Governance from the Rational Choice Perspective," *Fudan Public Administrative Review*, Vol. 7, 2011, 3-25, (in Chinese)

C. B. Ju and S. Y. Tang, "Political Contestation and the Developmental Trajectories of Environmental NGOs in South Korea," *Nonprofit and Voluntary Sector Quarterly*, Vol. 40, Issue 6, 2011, 1048-1072

C. P. Tang, S. Y. Tang, and C. Y. Chiu "Inclusion, Identity, and Environmental Justice in New Democracies: The Politics of Pollution Remediation in Taiwan" *Comparative Politics*, Vol. 43, No. 3, 2011, pp. 333-350

C. B. Ju and S. Y. Tang, "External Legitimacy, Goal Congruence, and Collective Resistance: Environmental NGOs and Land Use Politics in South Korea," *Urban Studies*, Vol. 48, No. 4, 2011, pp. 811-825

C. W. H. Lo, G. Fryxell, and S. Y. Tang, "Stakeholder Pressures from Perceived Environmental Impacts and the Effect on Corporate Management Programs in China," *Environmental Politics*, Vol. 19, No. 6, 2010, 888-909

S. Y. Tang, C. W. H. Lo, and G. Fryxell, "Governance Reform, External Support, and Environmental Regulation Enforcement in Rural China: The Case of Guangdong Province," *Journal of Environmental Management*, Vol. 91, No. 10, 2010, 2008-2018

C. P. Tang and S. Y. Tang, "Institutional Adaptation and Community-based Conservation of Natural Resources: The Cases of the Tao and Atayal in Taiwan," *Human Ecology*, Vol. 38, No. 1, 2010, 101-111

S. Y. Tang and C. W. H. Lo, "The Political Economy of Service Organization Reform in China: An institutional Choice Perspective," *Journal of Public Administration Research and Theory*, Vol. 19, No. 4, 2009, 731-767

Y.D. Jung, D.A. Mazmanian, and S. Y. Tang, "Collaborative Governance in the United States and Korea: Cases in Negotiated Policymaking and Service Delivery, An Introduction" *International Review of Public Administration*, Vol. 13 (Special Issue), 2009, 1-11

S. Y. Tang and S. A. Buchan, "Public Administration in Action: Preparing Professionals and the MPA Lab at USC," *Journal of Public Affairs Education*, Vol. 14, No. 3, 2008, 383-397

F. Delfin, Jr. and S. Y. Tang, "Foundation Impact on Environmental NGOs: The Grantees' Perspective," *Nonprofit and Voluntary Sector Quarterly*, Vol. 37, No. 4, 2008, 603-625

S. Y. Tang and X. Zhan, "Civic Environmental NGOs, Civil Society, and Democratization in China," *Journal of Development Studies*, Vol. 44, No. 3, 2008, 425-448.

J. H. Lim and S. Y. Tang, "Urban E-government Initiatives and Environmental Decision Performance in Korea," *Journal of Public Administration Research and Theory*, Vol. 18, No. 1, 2008, 109-138

F. Delfin, Jr. and S. Y. Tang, "Elitism, Pluralism, or Resource Dependency: Patterns of Environmental Philanthropy among Private Foundations in California," *Environment and Planning A*, Vol. 39, No. 9, 2007, 2167-2186

P. J. Robertson, C. W. H. Lo, and S. Y. Tang, "Money, Mission or Match: Antecedents of Commitment among Public Employees in China," *Administration and Society*, Vol. 39, No. 1, 2007, 3-24

F. Delfin, Jr. and S. Y. Tang, "Philanthropic Strategies in Place-Based, Collaborative Land Conservation: The Packard Foundation's Conserving California Landscape Initiative," *Nonprofit and Voluntary Sector Quarterly*, Vol. 35, No. 3, 2006, 405-429

C. P. Tang and S. Y. Tang "Democratization and Capacity Building for Environmental Governance: Managing Land-subsidence in Taiwan," *Environment and Planning A*, Vol. 38, No. 6, 2006, 1131-1141

C. W. H. Lo and S. Y. Tang, "Institutional Reform, Economic Changes, and Local Environmental Management in China: The Case of Guangdong Province" *Environmental Politics*, Vol. 15, No. 2, 2006, 190-211; reprinted in Neil T. Carter and Arthur P. J. Mol eds. *Environmental Governance in China*, London: Routledge, 2007.

S. Y. Tang, C. P. Tang, and C. W. H. Lo "Public Participation and Environmental Impact Assessment in Mainland China and Taiwan: Political Foundation of Environmental Management," *Journal of Development Studies*, Vol. 41, No. 1, 2005, 1-32

S.Y. Tang and C.P. Tang "Local Governance and Environmental Protection: Gravel Politics and the Preservation of an Endangered Bird Species in Taiwan," *Environment and Planning A*, Vol. 36, No. 1, 2004, 173-189

S. Y. Tang, C. W. H. Lo, and G. E. Fryxell, "Enforcement Styles, Organizational Commitment, and Enforcement Effectiveness: An Empirical Study of Local Environmental Protection Officials in China," *Environment and Planning A*, Vol. 35, No. 1, 2003, 75-94

J. H. Lim and S. Y. Tang, "Democratization and Environmental Policy Making in Korea," *Governance: An International Journal of Policy, Administration, and Institutions*, Vol. 15, No. 4 2002, 561-582

N. Bhatt and S. Y. Tang, "Determinants of Repayment in Microcredit: Evidence from Programs in the United States" *International Journal of Urban and Regional Research*, Vol. 26, No. 2, 2002, 360-376

N. Bhatt and S. Y. Tang, "Designing Group-Based Micro Finance: Some Theoretical and Policy Considerations," *International Journal of Public Administration*, Vol. 24, No. 10, 2001, 1103-1113

N. Bhatt and S. Y. Tang, "Making Microcredit Work in the United States: Social, Financial, and Administrative Dimensions" *Economic Development Quarterly*, Vol. 15, No. 3, 2001, 229-241

G. Painter and S. Y. Tang, "The Microcredit Challenge: A Survey of Programs in California," *Journal of Developmental Entrepreneurship*, Vol. 7, No. 1, 2001, 1-16

N. Bhatt and S. Y. Tang, "Delivering microfinance in developing countries: Controversies and policy perspectives," *Policy Studies Journal*, Vol. 29, No.2, 2001, 319-334

C. P. Tang and S. Y. Tang, "Negotiated Autonomy: Transforming Self-Governing Institutions for Local Common-Pool Resources in Two Tribal Villages in Taiwan," *Human Ecology*, Vol. 29, No. 1, 2001, 49-67

C. P. Tang and S. Y. Tang "Democratizing Bureaucracy: The Political Economy of Environmental Impact Assessment and Air Pollution Fees," *Comparative Politics*, Vol. 33, No. 1, 2000, 81-99

N. Bhatt, G. Painter, and S. Y. Tang, "Can Microcredit Work in the United States?" *Harvard Business Review*, Vol. 77, No. 6, 1999, 26-27

S. Y. Tang and C. P. Tang, "Democratization and the Environment: Entrepreneurial Politics and Interest Representation in Taiwan," *The China Quarterly*, No. 157, 1999, 350-366; Reprinted in Dafydd Fell, ed. *Politics of Modern Taiwan*, Vol. 3, Abingdon, UK: Routledge, 2008.

S. Y. Tang, V. Prakash, and C. P. Tang "Local Enforcement of Pollution Control in Developing Countries: A Comparison of Guangzhou, Delhi, and Taipei" *Journal of Public Policy*, Vol. 18, No. 3, 1998, 265-283

- N. Bhatt and S. Y. Tang, "The Problem of Transaction Costs in Group-Based Microlending: An Institutional Perspective," *World Development*, Vol. 26, No. 4, 1998, 623-637
- S. Kwon and S. Y. Tang, "Reinventing Government: A Critique from an Institutional Perspective," *International Journal of Public Administration*, Vol. 21, No. 10, 1998, 1449-1471
- S. Y. Tang et al., "Institutional Constraints on Environmental Management in Urban China: Environmental Impact Assessment in Guangzhou and Shanghai," *The China Quarterly*, No. 152, December 1997, 863-874
- S. Seneviratne and S. Y. Tang, "Politics, Administration, and Reinventing Government in Hong Kong," *Asian Journal of Business and Information Systems*, Vol. 2, No 1, 1997, 19-32
- C. W. H. Lo, S. Y. Tang, and S. K. Chan, "The Political Economy of Environmental Impact Assessment in Guangzhou," *Environmental Impact Assessment Review*, Vol. 17, 1997, 371-382
- S. Y. Tang and C. P. Tang, "Democratization and Environmental Politics in Taiwan," *Asian Survey*, Vol. 37, No. 3, 1997, 281-294.
- S. Y. Tang, P. J. Robertson, and C. E. Lane, "Commitment, Organizational Types, and Managerial Actions," *Public Productivity and Management Review*, Vol. 19, No. 3, 1996, 289-312
- W. Blomquist, E. Schlager, and S. Y. Tang, "Mobile Flows, Storage, and Self-Organized Institutions for Governing Common-Pool Resources: Reply," *Land Economics*, Vol. 71, No. 4, 1995, pp. 539-540
- S. Y. Tang, "Informal Credit Markets and Economic Development in Taiwan," *World Development*, Vol. 23, No. 5, 1995, 845-855; reprinted in Michael D. McGinnis, ed., *Polycentric Governance and Development: Readings from the Workshop in Political Theory and Policy Analysis*, Ann Arbor: University of Michigan Press, 1999
- P. J. Robertson and S. Y. Tang, "The Role of Commitment in Collective Action: Comparing the Organizational Behavior and Rational Choice Perspectives," *Public Administration Review*, Vol. 55, No. 1, 1995, 67-80
- S. Y. Tang, "Building Community Organizations: Credible Commitment and the New Institutional Economics," *Human Systems Management*, Vol. 13, No. 3, 1994, 221-232
- E. Schlager, W. Blomquist, and S. Y. Tang, "Mobile Flows, Storage, and Self-Organized Institutions for Governing Common-Pool Resources," *Land Economics*, Vol. 70, No. 3, 1994, 294-317; reprinted in Michael D. McGinnis, ed., *Polycentric Governance and Development: Readings from the Workshop in Political Theory and Policy Analysis*, Ann Arbor: University of Michigan Press, 1999

S. Y. Tang, J. L. Perry, and W. F. Lam, "The Politics of Structural Reform in Hong Kong: An Institutional Perspective," *International Review of Administrative Sciences*, Vol. 60, No. 3, 1994, 447-464

C. Lo and S. Y. Tang, "Institutional Contexts of Environmental Management: Water Pollution Control in Guangzhou, China," *Public Administration and Development*, Vol. 14, No. 1, 1994, 53-64

S. Y. Tang, J. L. Perry, and W. F. Lam, "Changing Institutional Contexts and Administrative Reform in Hong Kong," *Hong Kong Public Administration*, Vol. 3, No. 1, 1994, 31-44

J. M. Ferris and S. Y. Tang, "The New Institutionalism and Public Administration: An Overview," *Journal of Public Administration Research and Theory*, Vol. 3, No. 1, 1993, 4-10

S. Y. Tang, "Institutional Arrangements and the Management of Common-Pool Resources," *Public Administration Review*, Vol. 51, No. 1, 1991, 42-51

J. L. Perry and S. Y. Tang, "Applying Research on Administrative Reform to Hong Kong's 1997 Transition," *Asian Journal of Public Administration*, Vol. 9, No. 2, 1987, 113-131

*Book Chapters:*

S. Y. Tang, "Ten Principles for a Rule-Ordered Society," in Chen Shiyi, ed., *Dialogues with World Innovation Masters*, Beijing: Peking University Press, 2014 (in both English and Chinese)

N. Bhatt, G. Painter, and S. Y. Tang, "Microcredit Programs in the United States: The Challenges of Outreach and Sustainability," in J. Carr and Z. Y. Tong, eds., *Replicating Microfinance in the United States*, Washington, DC: Woodrow Wilson Center Press, 2002, 191-221

C. P. Tang and S. Y. Tang, "Taiwan," in H. Weidner and M. Janicke, eds. *Capacity Building in National Environmental Policy: A Comparative Study of 17 Countries*, Berlin: Springer-Verlag, 2002, 375-392

N. Bhatt and S. Y. Tang, "Group-Based Micro Finance and Economic Development," in K. T. Liou, ed., *Handbook of Economic Development*, New York: Marcel Dekker, 1998, 115-138

S. Y. Tang, "Institutions and Performance in Irrigation Systems," in Elinor Ostrom, Roy Gardner, and James Walker, *Rules, Games, and Common-Pool Resources*, Ann Arbor: University of Michigan Press, 1994, 225-245

W. Blomquist, E. Schlager, S. Y. Tang, and E. Ostrom, "Regularities from the Field and Possible Explanations" in Elinor Ostrom, Roy Gardner, and James Walker, *Rules, Games, and Common-Pool Resources*, Ann Arbor: University of Michigan Press, 1994, 301-316



S. Y. Tang, "Integrating Local Participation and Institutional Development: A Transaction Cost Perspective," in James L. Perry, ed., *Research in Public Administration*, Vol. 2, Greenwich, Connecticut: JAI Press, 1993, 213-233

J. L. Perry and S. Y. Tang, "Administrative Transition: Integrating Politics and Administration," in Kathleen Cheek-Milby and Miron Mushkat, eds. *Hong Kong: The Challenge of Transformation*, Hong Kong: Centre for Asian Studies, University of Hong Kong, 1989, 241-255

#### *Other Publications:*

S. Y. Tang and E. Ostrom, "The Governance and Management of Irrigation Systems: An Institutional Perspective," Irrigation Management Network Paper # 23, London: Overseas Development Institute, 1993

#### *Book Review/Review Essays*

Review Essay on Edella Schlager and Tanya Heikkila, "Left High and Dry? Climate Change, Common-Pool Resource Theory, and the Adaptability of Western Water Compacts" *Public Administration Review*, Vol. 71, No. 3, 2011, 57-60, online version

Review on Richard C. Feiock and John T. Scholz, eds. *Self-Organizing Federalism: Collaborative Mechanisms to Mitigate Institutional Collective Action Dilemmas*. New York: Cambridge University Press, 2010. 345 pp. in *Publius: The Journal of Federalism*, Vol. 41, No. 2, 2011, 357-359

Review on Elizabeth C. Economy. *The River Runs Black: The Environmental Challenge to China's Future*. Ithaca, NY: Cornell University Press, 2004. 272 pp. in *Political Science Quarterly*, Vol. 120, No. 1, 2005, 179-180

Individual-Level Motivations and Interagency Cooperation: Review Essay on Craig W. Thomas. *Bureaucratic Landscapes: Interagency Cooperation and the Preservation of Biodiversity*. Cambridge, MA: MIT Press, 353 pp. in *Public Administration Review*, Vol. 65, No. 3, 2005, 322-323

Review on X. Ma and L. Ortolano. *Environmental regulation in China: Institutions, enforcement, and compliance*. Lanham, MD: Rowman & Littlefield, 2000, 209 pp. and M. Rock. *Pollution control in East Asia: Lessons from newly industrializing economies*. Washington, DC: RFF Press, 197 pp. in *Journal of the American Planning Association*, Vol. 69, No. 1, 2003

Review on Maria Otero and Elizabeth Rhyne, eds. *The New World of Microenterprise Finance: Building Healthy Financial Institutions for the Poor*, West Hartford, Connecticut: Kumarian Press, 1994, 302 pp. in *Journal of Technical Cooperation*, Vol. 1, No. 2, 1995

#### **Research Grants**

“Fiscal Sustainability Index” (with Mark Pisano et al.) funded by Lincoln Land Institute, 2016, \$50,000

“Campaign-Style Enforcement and Environmental Compliance in China: A Comparative and Longitudinal Study” (with Carlos Lo et al.) funded by the Research Grants Council of Hong Kong, 2015-17, approx. \$92,600

“Political Contexts, Work Situations, and the Implementation of Environmental Policy by Street-Level Bureaucrats in China,” (with Xueyong Zhan et al.) funded by the Research Grants Council of Hong Kong, 2015-17, approx. \$67,300

“A Longitudinal and Comparative Study of Corporate Compliance with Environmental Regulations in China,” (with Carlos Lo et al.) funded by the Research Grants Council of Hong Kong, 2013-15, approx. \$100,000

“Assessing Ecological Modernization in China: A Longitudinal and Comparative Study of Stakeholder Demands and Corporate Environmental Management Practices,” (with Carlos Lo et al.) funded by the Research Grants Council of Hong Kong, 2012 –14, approx. \$113,000

“Southern California Fiscal Sustainability and Governance,” (with Richard Callahan, Mike McGrath, Mark Pisano, and Gloria Rubio-Cortes), funded by the John Randolph and Dora Haynes Foundation 2012-14, \$177,779

“Reforming Social Service Organizations in China: A Longitudinal and Cross-Jurisdictional Study” (with Carlos Lo, Tao-Chiu Lam, and Xueyong Zhan) funded by the Research Grants Council of Hong Kong, 2012-15, approx. \$100,000

“Fiscal Sustainability: Leadership, Strategy, and Civic Engagement,” (with Richard Callahan, Mike McGrath, Mark Pisano, and Gloria Rubio-Cortes) funded by the John Randolph and Dora Haynes Foundation, 2010-11, \$91,377

“Changes in the Enforcement of Environmental Regulations in China: A Longitudinal Study of Environmental Enforcement Officials in Three Cities” (with Carlos Lo et al.) funded by the Research Grants Council of Hong Kong, 2010-12, approx. \$73,000

“Career Backgrounds and Political Ties of Nonprofit Executives in China” funded by the US-China Institute, USC, 2010-2011, \$5,000

“Meeting the Challenges of Corporate Environmental Management of Manufacturers in the Pearl River Delta Region,” with (Carlos Lo and Ricky Chan) funded by the Research Grants Council of Hong Kong, 2007-9, approx. \$58,000

“Place-Based, Collaborative Land Conservation in California: What Roles for Foundations?” funded by the USC Center for Philanthropy and Public Policy, 2006-7, \$6,000

“Patterns and Strategies of Environmental Grantmaking among Philanthropic Foundations in California,” (with Francisco Delfin, Jr.) funded by the USC Center for Philanthropy and Public Policy, 2003-4, \$10,000

“Environmental Governance in County-Level Cities in the Pearl River Delta Region: Institutional Contexts, Regulatory Strategies, and Stakeholder Incentives,” (with Carlos Lo and Gerald Fryxell) funded by the Research Grants Council of Hong Kong, 2002-4, approx. \$78,000

"Instituting Complex Environmental Governance Networks in New Democracies: The Case of Taiwan" (with Ching-Ping Tang) funded by the Chiang Ching-Kuo Foundation, 2000-2002, \$29,989

“An Analysis on the Efficiency and Effectiveness of Alternative Loan Programs in Southern California” (with Gary Painter) funded by SC2, University of Southern California, 1998, \$22,903

"Interest Distributions, Institutions, and Environmental Politics in Taiwan" funded by the Chiang Ching-Kuo Foundation, 1996-1998, \$39,938

"Institutional Change and Environmental Policy in Taiwan" funded by the Pacific Cultural Foundation, 1996, \$2,500

"The Political Economy of Development in Taiwan: An Institutional Perspective," funded by the Pacific Cultural Foundation, 1993, \$2,500

### **Presentations and Conference Participation**

“Implementing Environmental Governance Reform in China: A Comparative Study of Environmental Service Organization Reform in Chengdu and Guangzhou”, with Xueyong Zhan and Carlos Lo, presented at the HKU-USC-IPPA Conference on Public Policy, Hong Kong, June 2016

“Understanding the Transition of Government-NGO Relations in China’s Environmental Governance,” with Xueyong Zhan, presented at the 20<sup>th</sup> International Research Society on Public Management Conference, Hong Kong, April 2016

“Political Commitment, Policy Ambiguity, and Corporate Environmental Management in China,” with Ning Liu, Xueyong Zhan, and Carlos Lo, presented at the Public Management Research Conference, Minneapolis, Minnesota, June 2015

Invited Lecture on “Use and Misuse of Rule: Environmental Regulation Enforcement and Compliance in China,” Center for Chinese Studies, University of Michigan, March 2015

Invited Lecture on “Political Commitment, Policy Uncertainty, and Corporate Environmental Management in China,” The Long Institute, School of Law, University of California, Irvine, May 2015

Invited Lecture on “Use and Misuse of Rule: Environmental Regulation Enforcement and Compliance in China,” School of International Relations and Public Affairs, Fudan University, December 2014

Invited Lecture on “Use and Misuse of Rule: Environmental Regulation Enforcement and Compliance in China,” The Long Institute, School of Law, University of California, Irvine, May 2014

Panelist, Pre-Conference Session on “Public Administration Theories with Asian Roots,” Public Management Research Conference, Madison, Wisconsin, June 2013

“Institutional Embeddedness and Government-NGO Relations: Political Ties of Environmental NGO Leaders in China,” with Xueyong Zhan, presented at the Public Management Research Conference, Madison, Wisconsin, June 2013

Invited Lecture on “NGOs, Civil Society, and Environmental Governance in China,” presented at the Evans School of Public Affairs, University of Washington, Seattle, May 2013

“Regulatory Intensity, Resource Availability, and Corporate Compliance Styles in China,” with N. N. Liu, C. W. H. Lo, and X. Zhan, presented at the "Improving Chinese Public Services: Theory and Empirical Evidence" Conference, Department of Public Policy, City University of Hong Kong, April 2013

Invited Lecture on “Institutional Dynamics and Governance Reform in China,” presented at Hong Kong Polytechnic University, April 2013

Invited Lecture on “NGOs, Civil Society, and Environmental Governance in China,” presented at Faculty of Social Sciences, University of Hong Kong, April 2013

“Fiscal Challenges as Common-Pool Resource Problem: The Experience of Los Angeles County,” American Society for Public Administration Conference, New Orleans, March 2013

Invited Lecture on “Enhancing China’s Governing Capacity: Ten Principles for a Rule-ordered Society” presented at Innovation Education and Research Institute, Peking University, Beijing, China, November 2011

Invited Lectures on “Governance and Rule-ordered Society” presented at the National Academy of Civil Service and Center for China Studies, National Chengchi University, Taiwan (ROC), May/June 2011

Invited lecture on “Rule-Ordered Society and Governance” presented at the National Academy of Governance, Beijing, China, December 2010

Invited lecture on “Challenges for Cross-sectoral Governance: Implications for Public and Nonprofit Management” presented at Shih Shin University, National ChengChi University, and the Shanghai University of Finance and Economics, October 2009

“Contextual Changes and Environmental Policy Implementation in China: A Longitudinal Study of Street-Level Bureaucrats in Guangzhou” (with Carlos W. H. Lo and Xueyong Zhan) and “Critical Junctures, Path Dependence, and Political Contestation: The Emergence and Institutionalization of Environmental NGOs in Korea” (with C.B. Ju) presented at the Public Management Research Conference, Columbus, OH, October 2009

Chair, Panel on “China’s Environmental Challenges and Policy Responses” Annual Conference of the Association of Public Policy and Management, Los Angeles, November 2008

Panelist, Roundtable on “Collaborative Governance, Distributive Politics, and Equity” Annual Conference of the American Political Science Association, Boston, MA, August 2008

“Environmental Impact, Stakeholder Pressures, and Corporate Environmental Management in China” (with Carlos W. H. Lo and Gerald Fryxell) presented at the Academy of Management Annual Meeting, Anaheim, CA, August 2008

Organizer and Speaker, Panel on “Integrating Research and Curricular Development in Collaborative Governance” Annual Conference of the National Association of Schools of Public Affairs and Administration, Seattle, WA, November 2007

“The Political Economy of Service Organization Reform in China: An Institutional Choice Perspective” (with Carlos W. H. Lo) presented at the Public Management Research Conference, Tucson, AZ, October, 2007

“Public Administration in Action: Professional Skills Development and the MPA Lab at USC” (with Sandy Buchan) Annual Conference of the National Association of Schools of Public Affairs and Administration, Minneapolis, MN, November 2006

“Governance Reform, External Support, and Environmental Regulation Enforcement in Rural China: The Case of Guangdong Province” (with W. H. Lo, and G. Fryxell) presented at the First Annual Conference of Asian Public Administration Network, Korea University, November 2006

Keynote speech “Emergent Challenges in Cross-Sectoral Governance: Implications for NGOs” Cross-strait NGO Academic and Practice Conference, Center for the Third Sector, National ChengChi University, Taiwan, February 2006

“Strategic Philanthropy, Land Conservation Governance, and The Packard Foundation’s *Conserving California Landscape Initiative*” (with Francisco Delfin, Jr.) presented at the Workshop in Political Theory and Policy Analysis, Indiana University, Bloomington, November 2005

“Strategic Philanthropy, Land Conservation Governance, and The Packard Foundation’s *Conserving California Landscape Initiative*” (with Francisco Delfin, Jr.) presented at the Public Management Research Conference, Los Angeles, September/October, 2005

Discussant, International Public Management Network Workshop on Communicable Crises: Prevention, Management and Resolution in an Era of Globalization, Peter Wall Institute for Advanced Studies, University of British Columbia, Vancouver, BC, Canada, August, 2005

“Civil Society, Environmental NGOs, and Democratization in China” (with X. Zhan) presented at the Midwest Political Science Association Conference, Chicago, April, 2005

“Civil Society and Democratization: The Evolution of Environmental NGOs in China” (with X. Zhan) presented at the International Conference on Democratic Consolidation and Administrative Reform, Department of Public Policy and Management at Shih Hsin University and Civil Service Development Institute, Taipei, June, 2004

“Democratization and Capacity Building for Environmental Governance: Managing Land-subsidence in Taiwan” (with C. P. Tang) presented at the International Conference on New Model of Governance in Comparative Perspective, Department of Political Science at the National Chung Cheng University, Taiwan, May, 2004

“Antecedents of Commitment Among Public Employees in China” (with P. J. Robertson and C. W. H. Lo) presented at the Seventh Public Management Research Conference, Georgetown University, Washington, DC, October, 2003

"Microcredit Programs in the United States: The Challenges of Outreach and Sustainability" (with N. Bhatt and G. Painter) presented at the Association for Enterprise Opportunity Association Conference, Fort Lauderdale, Florida, May, 2002

"Negotiated Autonomy: Transforming Self-Governing Institutions for Local Common-Pool Resources in Two Tribal Villages in Taiwan" (with C.P. Tang) presented at the Conference of the International Association for the Study of Common Property, Bloomington, Indiana, June, 2000

"Making Microcredit Work in the United States: Social, Financial, and Administrative Dimensions of Intermediation" (with N. Bhatt) presented at the Fifth National Public Management Conference, College Station, Texas A & M University, December, 1999

"Microcredit Programs in the United States: The Challenges of Outreach and Sustainability" (with N. Bhatt and G. Painter) presented at the Annual Conference of the Association for Public Policy Analysis and Management, Washington, DC, October, 1999

“Political Institutions, Civic Participation, and Sustainable Development: Environmental Impact Assessment in Mainland China, Hong Kong, and Taiwan” (with C.W.H. Lo and C. P. Tang) presented at the National Conference of the American Political Science Association, Atlanta, Georgia, September, 1999

“Political Institutions, Civic Participation, and Sustainable Development: Environmental Impact Assessment in Mainland China, Hong Kong, and Taiwan” (with C.W.H. Lo and C. P. Tang) presented in the Cultural Foundations Workshop at Rice University, Houston, Texas, March, 1999

“Local Enforcement of Pollution Control Regulations in Developing Countries: A Comparison of Guangzhou, Delhi, and Taipei,” (with V. Prakash and C. P. Tang) presented at the Annual Conference of the Association for Public Policy Analysis and Management, New York, October, 1998

“Democratizing Bureaucracy: The Political Economy of Environmental Impact Assessment and Air Pollution Fees,” (with C. P. Tang) presented at the National Conference of the American Political Science Association, Washington, D. C., September, 1998

"Democratization and the Environment: Entrepreneurial Politics and Interest Representation in Taiwan," (with Ching-Ping Tang) presented at the National Conference of the American Political Science Association, Washington, D. C., August, 1997

"Democratization and Environmental Politics in Taiwan," (with Ching-Ping Tang) presented at the National Conference of the American Political Science Association, San Francisco, August, 1996

"Organizational Types, Commitment, and Managerial Actions," (with Peter Robertson and Charles E. Lane) presented at the Third National Public Management Research Conference, Lawrence, Kansas, October, 1995

Discussant, Panel on "Political Economy of the High-Performance East Asian Economies," at the National Conference of the American Political Science Association, Chicago, August, 1995

"The Informal Sector and Economic Development: Informal Credit Markets in Taiwan," presented at the Workshop on the 20th Anniversary of the Workshop in Political Theory and Policy Analysis, Bloomington, Indiana, June, 1994

"Informal Credit Markets and Economic Development in Taiwan," presented at the School of Public Administration Research Seminar, USC, November, 1993

"The Concept of Commitment in Organizational Analysis: Integrating the Rational Choice and Cultural Approaches," (with Peter Robertson), presented at the Berkeley Symposium on Public Management Research, American Society for Public Administration National Training Conference, July, 1993

"Institutional Contexts of Environmental Management: Water Pollution Control in Guangzhou (Canton), China," (with Carlos Lo), presented at the International Conference on Managing Municipal Change, The Chinese University of Hong Kong, June, 1993

"The New Institutionalism and Public Administration," presented at National Taiwan University, National Cheng Chi University, Chinese Culture University, and National Sun Yat-sen University, Taiwan, May 1993

"Institutions and Collective Action: Self-Governance in Irrigation," presented at the Development Seminar, Department of Economics, USC, October, 1992

Organizer and Chair, Roundtable on "The Study of Institutions in Public Administration," the National Conference of the American Political Science Association, Chicago, August, 1992

"A Research Agenda for Comparative Public Administration," presented at the School of Public Administration Research Seminar, USC, February, 1992

"All CPRs Are Not Created Equal: Two Important Physical Characteristics and Their Relation to the Resolution of Commons Dilemmas," (with William Blomquist and Edella Schlager) presented at the National Conference of the American Political Science Association, Washington, D.C., August, 1991

"Designing Complex Institutional Arrangements: Linking Bureaucratic and Local, Self-Governing Organizations," presented at the Annual Conference of Western Political Science Association in Seattle, March, 1991

"Institutional Arrangements and the Management of Common-Pool Resources," presented at the Collective Choice Seminar, School of Public Administration, USC, November, 1990

Discussant, Panel on "Political and Socio-economic Transformation in Post Chiang Ching-kuo Taiwan" at Asian Studies on the Pacific Coast Conference, Stanford, June, 1990

Organizer, Panel on "Institutions and Common Property Resources: A Comparative Perspective" at the Symposium on Public Administration Research, the 1990 National Conference of the American Society for Public Administration, Los Angeles, April, 1990

"Operational Rules and Collective Action in Irrigation Systems: A Comparison of 47 Cases," presented at the Symposium on Public Administration Research, the 1990 National Conference of the American Society for Public Administration, Los Angeles, April, 1990

"Operational Rules and Collective Action in Irrigation Systems: A Comparison of 47 Cases," presented at the Development Seminar, Department of Economics, USC, March, 1990

"Administrative Reform and the 1997 Transition in Hong Kong," presented at the 1988 National Conference of the American Society for Public Administration, Portland, April, 1988

"On Maintenance in Irrigation Systems: A Preliminary Analysis," presented at the Common Property Resource Management Conference, Workshop in Political Theory and Policy Analysis, Indiana University, Bloomington, December, 1987

### **Editorial and Executive Board Membership**

*Public Administration Review*, 1993-95

*Journal of Public Administration Research and Theory*, 1993-1997, 2009-2013

*International Public Administration Review* (formerly *Korean Public Administration Review*), since 1996

*Journal of Public Affairs Education*, since 1997



*Public Performance and Management Review*, since 2014  
 Associate Editor, *Journal of Public Administration Research and Theory*, 2000-2005  
 Public Management Research Association, 2001-3  
 American Political Science Association, Public Administration Section, 1999-2001  
*Asia Pacific Journal of Public Administration*, since 2004  
*Taiwan Political Science Review*, since 2007  
 Center for China Studies, National Chengchi University, Taiwan, ROC, since 2008  
*Third-Sector Review*, since 2004

#### **Referee/External Examiner/Panel Member**

*Administration and Society*  
*Asian Geographer*  
*Comparative Politics*  
*Contemporary Economic Policy*  
*Current Anthropology*  
*Democratization*  
*Ecology and Society*  
*Environment and Planning A*  
*Environmental Impact Assessment Review*  
*Environmental Management*  
*Environmental Politics*  
*Eurasian Geography and Economics*  
*Geographical Journal*  
*Governance: An International Journal of Policy, Administration and Institutions*  
*Journal of Developmental Entrepreneurship*  
*Journal of East Asian Studies*  
*Journal of Environmental Management*  
*Journal of Environmental Planning and Management*  
*Journal of Theoretical Politics*  
*International Journal of Public Administration*  
*International Journal of Public Organization and Management*  
*International Review of Administrative Sciences*  
*International Review of Public Administration*  
*Journal of Public Administration Research and Theory*  
*Journal of Public Affairs Education*  
*Law and Policy*  
*Nonprofit and Voluntary Sector Quarterly*  
*Policy Studies Journal*  
*Political Science Quarterly*  
*Political Studies*  
*Planning: Theory and Practice*  
*Planning and Markets*  
*Public Administration*  
*Public Administration Review*  
*Public Performance and Management Review*  
*Review of Policy Research*  
*Small Business Economics: An International Journal*  
*Society and Natural Resources*

*Urban Studies*

*Water Resources Review*

*World Development*

*World Politics*

Holt Rinehart & Winston, Inc.

Houghton Mifflin Company

National Science Foundation (Political Science, Geography, & POWRE Programs)

Oregon Sea Grant

Stanford University Press

Leonard D. White Award Committee (1997), the American Political Science Association,

University of Massachusetts, Boston

The Chinese University of Hong Kong

University of Hong Kong

Hong Kong Baptist University

Research Grants Council, Hong Kong

Academia Sinica

Economic and Social Research Council, UK

Department of Public and Social Administration, City University of Hong Kong

Sharjah University

University of Melbourne

NOAA Climate Service Panel, National Academy of Public Administration (2010)

Dwight Waldo Award Committee (2012-13), American Society for Public Administration

Beryl Radin Award Committee (2012-13), Public Management Research Association

Program Committee (Chair), Public Management Research Conference, 2005

Program Committee, Public Management Research Conference, 2013

NASPAA Accreditation Site Visit Team (2013)

NASPAA Nominating Committee (2013)

University of New Mexico

Final Selection Committee, Fudan Management Award (2014)

Palgrave, Macmillan

Columbia University Press

University of Amsterdam

Hong Kong Polytechnic University

Tsinghua University

Social Sciences and Humanities Research Council, Canada

George Mason University